



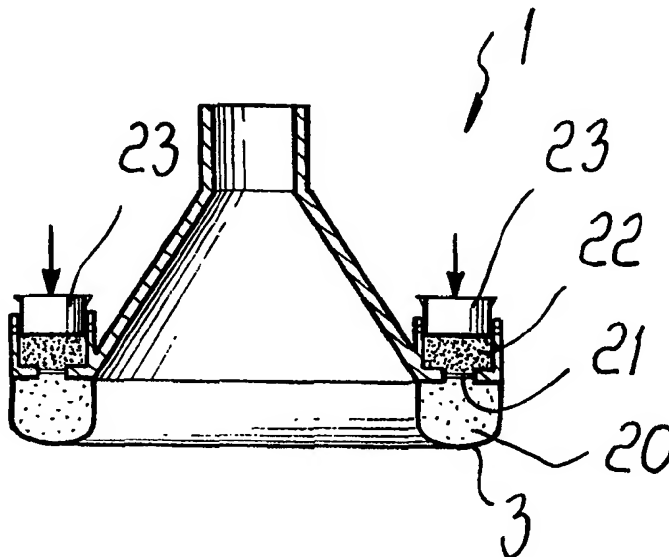
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A62B 18/08</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/58198</b> <b>(43) International Publication Date:</b> 18 November 1999 (18.11.99)
<b>(21) International Application Number:</b> PCT/US99/10300 <b>(22) International Filing Date:</b> 11 May 1999 (11.05.99)  <b>(30) Priority Data:</b> MI98A0001027 12 May 1998 (12.05.98) IT  <b>(71) Applicant (for all designated States except US):</b> MALLINCK-RODT INC. [US/US]; Lawrence L. Limpus, 675 McDonnell Boulevard, P.O. Box 5840, St. Louis, MO 63134 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BERGAMASCHI, Paolo [IT/IT]; Via Fratelli Cervi, 12, I-41033 Concordia (IT). FINI, Massimo [IT/IT]; Via Picasso, 31, I-41037 Mirandola (IT).  <b>(74) Agent:</b> LIMPUS, Lawrence, L.; Mallinckrodt Inc., 675 McDonnell Boulevard, P.O. Box 5840, St. Louis, MO 63042 (US).		<b>(81) Designated States:</b> CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>

**(54) Title:** CUSTOMIZABLE FACE OR NOSE MASK FOR THE NONINVASIVE VENTILATION OF PATIENTS IN GENERAL

**(57) Abstract**

A customized face or nose mask (1) for the non-invasive ventilation of patients in general, which comprises a mask body (2) forming at least one surface portion which can be coupled to the face of the patient. The peculiarity of the invention consists in that it comprises, at least at the surface portion, a chamber (3) for containing at least one product without shape memory which can be activated to produce a chemical, and/or physical reaction for the transformation of the at least one product without shape memory into a product having shape memory which spontaneously models itself on the patient's face.



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CUSTOMIZABLE FACE OR NOSE MASK FOR THE  
NONINVASIVE VENTILATION OF PATIENTS IN GENERAL

5 The present invention relates to a customizable  
face or nose mask for the noninvasive ventilation of  
patients in general.

It is known that the noninvasive ventilation  
of patients in general is based on the use of a face or  
10 nose mask which is applied by exerting a positive  
pressure so as to provide the intended seal at the  
perimetric edges of the mask in contact with the  
patient's face.

One of the most severe problems to be overcome is  
15 the patient's tolerance of the machine-face interface,  
which is constituted by the mask proper.

Due to the considerable anatomical diversity of  
faces, it is necessary to apply a certain force to the  
mask in order to ensure its tightness; accordingly,  
20 though using of very soft materials, in the long term  
the pressure on the face leads to more or less severe  
traumas for the patient.

Markedly better results have been achieved by  
using masks which are produced starting from a mold  
25 formed on the patient's face; essentially, a mold of the  
patient's face is made and a relatively soft material,  
generally silicone, is then poured onto said mold; the  
material assumes the anatomical shape of the  
patient's face and accordingly minimal pressure is  
30 sufficient to provide the intended seal against the face.

Although these embodiments are valid from a  
functional point of view, they suffer the severe drawback  
of having an exceptionally high cost, since it is  
necessary to provide a specific mold to form the mask in  
35 each individual case.

These solutions furthermore require relatively  
long production times; together with their high costs,  
these factors have led to a limited diffusion of these  
types of mask.

The aim of the invention is to provide a customizable face or nose mask for the noninvasive ventilation of patients in general, which can be modeled directly on the individual patient without thereby requiring the making of a mold.

Within the scope of this aim, a particular object of the invention is to provide a customizable mask in which it is possible to perform adaptation to the individual patient in a very short time without having to resort to special devices.

Another object of the present invention is to provide a customizable mask which, by way of its particular constructive features, is capable of giving the greatest assurances of reliability and safety in use.

Another object of the present invention is to provide a customizable mask which can be easily obtained starting from commonly commercially available elements and materials and is also inexpensive, thus contributing to the diffusion of the mask among users.

This aim, these objects and others which will become apparent hereinafter are achieved by a customizable face or nose mask for the noninvasive ventilation of patients in general, which comprises a mask body forming at least one surface portion which can be coupled to the face of the patient, characterized in that it comprises, at least at said surface portion, a chamber for containing at least one product without shape memory which can be activated to produce a chemical and/or physical reaction for the transformation of said at least one product without shape memory into a product having shape memory which spontaneously models itself on the patient's face.

Further characteristics and advantages of the present invention will become apparent from the description of some preferred but not exclusive embodiments, illustrated only by way of non-

limitative example in the accompanying drawings, wherein:

5        Figure 1 is a schematic sectional view of a customizable mask with two separate containers for two products which can be mixed to provide a material without shape memory;

10       Figure 2 is a schematic view of the step for the self-modeling of the mask;

      Figure 3 is a schematic view of the mask with the two components of the reaction provided in two separate regions;

15       Figure 4 is a schematic sectional view of the mask in which it is possible to introduce a self-modeling material;

      Figure 5 is a schematic view of the mask applied to a patient.

20       With reference to the above figures, the customizable face or nose mask for the noninvasive ventilation of patients in general, generally designated by the reference numeral 1, comprises a mask body 2 which has at least one surface portion 3 which can be coupled to the face of the patient.

25       The surface portion is preferably provided by a flexible element which internally delimits a region or chamber containing at least one product without shape memory.

30       In greater detail, it is possible to provide different embodiments, and in particular the chamber, as shown in the examples of Figures 1 and 2, is constituted by a first region 10 and by a second region 11 which are separated one another by a breakable membrane 12.

35       The first region 10 and the second region 11 contain a first product and a second product which, when the breakable membrane 12 is broken, react together, producing a product having shape memory which self-models on the face of the patient.

In the embodiment shown in Figure 3, the chamber is provided by means of a first container 20 which is separated, by means of a breakable partition 21, from at least one second container 22 on which there acts a plunger for pushing the second component, which can be introduced in the first container 20, where there is a first component which reacts chemically so as to obtain a product having shape memory.

According to Figure 4, a chamber 30 is provided which is delimited by the flexible plate-like element 3 forming the surface portion for coupling to the face of the patient and which is equipped with an inlet 31 in which it is possible to couple a nozzle for introducing a component or binary component, in the form of foam or in another form, which reacts directly inside the chamber 30, providing a product having shape memory which self-models on the face of the patient.

Essentially, the material or binary materials, by setting, assume the shape of the container, which is delimited in practice by the flexible sheet-like element which, in contact with the face of the patient, shapes the product so as to provide a truly customized mask.

Once the chemical or physical reaction has occurred, the hardness of the material is not very important in itself, since it is possible to use both a rigid material, by providing soft sealing elements on the flexible sheet-like element, and a soft material, which directly acts as a gasket.

Usable materials belong to a wide range; in particular, it is possible to use all materials which can lead to a chemical or physical reaction which alters their state from fluid without memory, or in any case from a material having no shape of its own, into a solid or gel which has memory, i.e., can resume its own shape.

Merely by way of example, mention is made of polyurethanes, which assume the intended shape when  
5 the two components are mixed, gelled water-soluble polyurethanes, foams, and so forth.

When the reaction occurs, the temperature during setting should not exceed 40°C in view of the fact that said setting occurs in contact with the skin.

10 Moreover, the recommendable duration of the setting process must be on the order of no more than 5-10 minutes, since this is considered to be an acceptable time for which the patient can keep the mask on his face without moving it during the reaction of the components.

15 From the above description it is thus clear that the invention achieves the intended aim and objects; in particular, it is stressed that a customizable mask is provided which can be shaped directly in contact with the patient's face without  
20 having to resort to all the complicated operations which are typical of the solutions of the prior art.

The invention thus conceived is susceptible of numerous modifications and variations, all of which are within the scope of the inventive concept.

25 All the details may furthermore be replaced with other technically equivalent elements.

In practice, the materials employed, as well as the contingent shapes and dimensions, may be any according to requirements.

## CLAIMS

5           1. A customizable face or nose mask for  
the noninvasive ventilation of patients in general,  
which comprises a mask body forming at least one surface  
portion which can be coupled to the face of the  
patient, characterized in that it comprises, at  
10 least at said surface portion, a chamber for  
containing at least one product without shape memory  
which can be activated to produce a chemical and/or  
physical reaction for the transformation of said at  
least one product without shape memory into a  
15 product having shape memory which spontaneously  
models itself on the patient's face.

2. The mask according to claim 1, characterized  
in that said surface portion that can be coupled to the  
face of the patient is constituted by a flexible element.

20           3. The mask according to the preceding  
claims, characterized in that said containment chamber  
comprises a first region and a second region which  
are mutually separated one another by a breakable  
membrane, said first region and said second region  
25 respectively containing a first product and a second  
product which mutually react to obtain said product  
having shape memory.

4. The mask according to one or more of the  
preceding claims, characterized in that said chamber  
30 comprises a first container which is separated, by means  
of a breakable partition, from a second container in  
which there acts a plunger for pushing a second  
component which is suitable to react with a first  
component provided in said first container.

35           5. The mask according to one or more of the  
preceding claims, characterized in that said containment  
chamber is delimited by said flexible element and has  
an inlet for introducing a product which is introduced  
from outside and is suitable to react, when it is



introduced in said chamber, so as to obtain said product having shape memory.

- 5           6. The mask according to one or more of the preceding claims, characterized in that the reaction of said product occurs at a temperature which is equal to, or lower than, 40°C.

- 10           7. The mask according to one or more of the preceding claims, characterized in that the reaction time of said at least one product for transformation from a product without shape memory into a product having shape memory is shorter than 10 minutes.

- 15           8. The customizable face or nose mask for the noninvasive ventilation of patients in general, characterized in that it comprises one or more of the described and/or illustrated features.

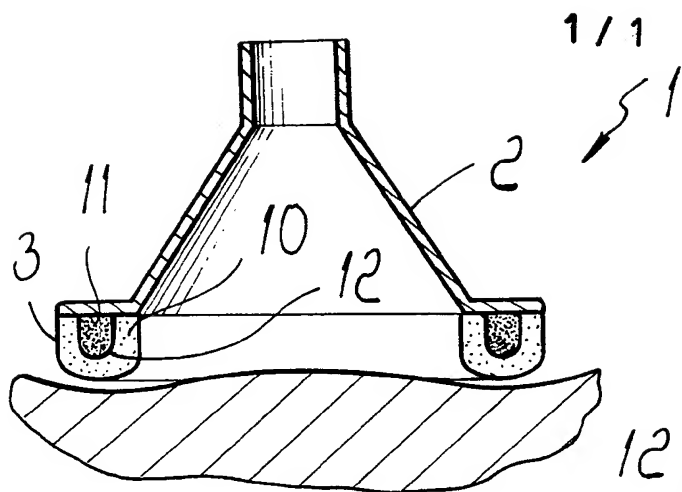


Fig. 1

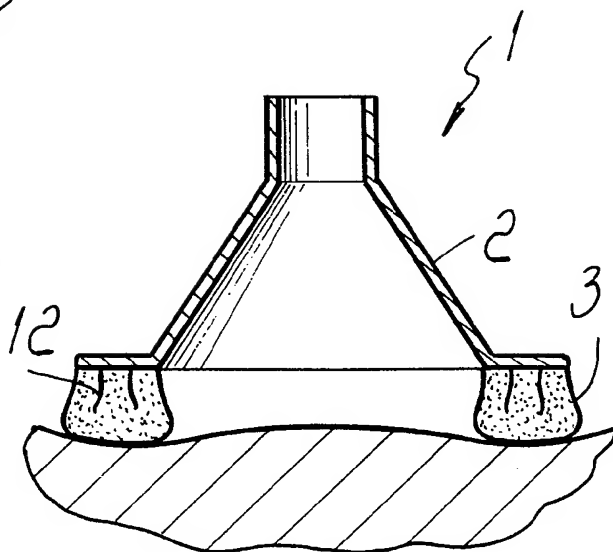


Fig. 2

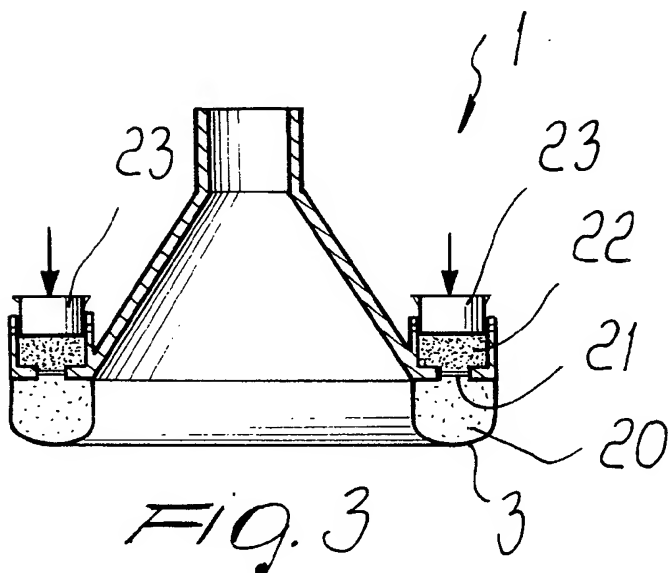


Fig. 3

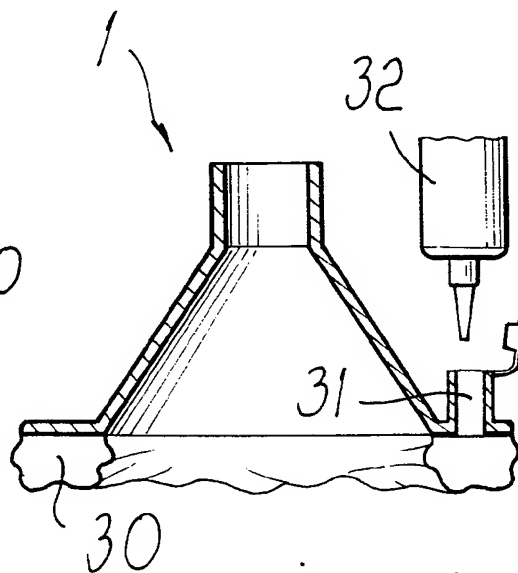


Fig. 4



Fig. 5

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/10300

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : A62B 18/08

US CL : 2/209, 428; 128/205.23, 206.23, 206.24, 206.21, 206.26

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/206.24, 206.23, 206.26, 206.21, 205.23; 2/209, 428

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,647,357 A (BARNETT et al) 15 July 1997, col. 3 lines 41-55.	1, 2
A	US 4,674,134 A (LUNDIN) 23 June 1987, col. 2 lines 38-52.	1, 2
A	US 2,931,356 A (SCHWARZ) 05 April 1960, col. 1 lines 67-72.	1, 2

<input type="checkbox"/>	Further documents are listed in the continuation of Box C.	<input type="checkbox"/>	See patent family annex.
*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

22 JULY 1999

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/10300**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 8  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 3-7  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.